

Complaints–Broking&DP				ANNEXURE-B		
Data for Month ending september-2025						
Sr No	Received from	Carried forward from previous Year	Received during the year	Total Pending		Resolved* Pending at the end of the year* *
	Directly from Investors	6	25	9		16 15
	SEBI (SCORES)	0	10	1		10 1
	Depositories	0	24	0		23 0
	Other Sources (if any)	0	0	0		0 0
	Grand Total	7	59	10		49 16
Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.						
Trend of monthly disposal of						
Sr No	Month	Carried forward from previous month	Received	Resolved *		Pending**
	Apr21	0	2	2		0
	May21	0	0	0		0
	Jun21	0	2	2		0
	Jul21	0	1	1		0
	Aug21	0	0	0		0
	Sep21	0	1	1		0
	Oct21	0	0	0		0
	Nov21	0	2	1		1
	Dec21	1	1	1		0
	Jan22	0	1	1		0
	Feb22	0	0	0		0
	Mar-22	0	0	0		0
	Apr22	0	1	1		0
	May22	0	2	2		0
	Jun22	0	0	0		0
	Jul22	0	0	0		0
	Aug22	0	2	2		0
	Sept22	0	2	2		0
	Oct22	0	1	2		0
	Nov22	0	4	4		0
	Dec22	0	3	3		0
	Jan23	0	2	2		0
	Feb23	0	1	1		0
	Mar-23	0	0	0		0
	Apr23	0	1	0		1
	May23	1	1	1		1
	Jun23	1	2	0		2
	Jul23	0	0	0		0
	Aug23	0	0	0		0
	Sep23	0	2	2		0
	Oct23	0	2	2		0
	Nov23	0	0	0		0
	Dec23	0	1	1		0
	Jan24	0	6	6		0
	Feb24	0	6	6		0
	Mar24	0	11	7		4
	April24	4	7	10		1
38	May24	1	11	10		1
39	Jun24	1	1	2		0
40	July24	0	2	2		0
41	Aug24	0	0	0		0
42	Sep24	0	3	3		0
43	Oct24	0	1	1		0
44	Nov24	0	7	7		0
45	Dec24	0	4	4		0
46	Jan25	0	3	3		0
47	Feb25	0	2	2		0

48	Mar25	0	1	1	0	
49	Apr25	0	1	1	0	
50	May25	0	1	1	0	
51	June25	0	(5+1) = 6	1	5	
52	July25	0	(7+1)=8	0	0	
53	Aug25	0	(2+1)=3	0	0	
54	Sep25	0	(1+4)=5	0	0	
	GrandTotal	9	126	102	16	
*Inclusiveofcomplaintsofpreviousmonthsresolvedinthecurrent						
**Inclusiveofcomplaintspendingasonthelastdayofthemonth.						
Trendofannualdisposalofcomplaints						

Sr No	Year	Carriedforwardfrompreviousyear	Receivedduringtheyear	Resolvedduringtheyear	Pendingattheendoftheyear	
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	2017-18	0	399	398	1	
	2018-19	1	217	203	14	
	2019-20	14	124	99	25	
	2020-21	25	16	15	1	

	2021-22	1	10	9	1	
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	2022-23	1	18	18	0	
	2023-24	0	6	3	3	

	GrandTotal	42	790	745	45	
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