

Complaints–Broking&DP				ANNEX URE-B			
DataforMonthending October-2025							
Sr No	Receivedfrom	Carriedforwardfromprevio us Year	Receivedduringt heyear	TotalPending		Resolved*	Pendingatthe endofthe year* *
	DirectlyfromInvestors	6	25	9		16	15
	SEBI(SCORES)	0	10	1		10	1
	Depositories	0	24	0		23	0
	OtherSources(ifany)	0	0	0		0	0
	GrandTotal	7	59	10		49	16
AverageResolutiontimeisthesumtotaloftimetakentoresolveeachcomplaintindays, inthecurrentmonthdividedbytotalnumberofcomplaintsresolvedinthecurrentmonth.							
Trendofmonthlydisposalof							
Sr No	Month	Carriedforwardfromprevious month	Received	Resolved *		Pending**	
	Ap21	0	2	2		0	
	Ma21	0	0	0		0	
	Jun21	0	2	2		0	
	JE21	0	1	1		0	
	Aug21	0	0	0		0	
	Sep21	0	1	1		0	
	Oct21	0	0	0		0	
	Nov21	0	2	1		1	
	Dec21	1	1	1		0	
	Jan22	0	1	1		0	
	Feb22	0	0	0		0	
	Mar-22	0	0	0		0	
	Apr22	0	1	1		0	
	May22	0	2	2		0	
	Jun22	0	0	0		0	
	JE22	0	0	0		0	
	Aug22	0	2	2		0	
	Sept22	0	2	2		0	
	Oct22	0	1	2		0	
	Nov22	0	4	4		0	
	Dec22	0	3	3		0	
	Jan23	0	2	2		0	
	Feb23	0	1	1		0	
	Mar-23	0	0	0		0	
	Apr23	0	1	0		1	
	May23	1	1	1		1	
	Jun23	1	2	0		2	
	JE23	0	0	0		0	
	Aug23	0	0	0		0	
	Sep23	0	2	2		0	
	Oct-23	0	2	2		0	
	Nov23	0	0	0		0	
	Dec23	0	1	1		0	
	Jan24	0	6	6		0	
	Feb24	0	6	6		0	
	Mar24	0	11	7		4	
	April24	4	7	10		1	
38	May24	1	11	10		1	
39	Jun24	1	1	2		0	
40	July24	0	2	2		0	
41	Aug24	0	0	0		0	
42	Sep24	0	3	3		0	
43	Oct24	0	1	1		0	
44	Nov24	0	7	7		0	
45	Dec24	0	4	4		0	

46	Jan25	0	3	3	0	
47	Feb25	0	2	2	0	
48	Mar25	0	1	1	0	
49	Apr25	0	1	1	0	
50	May25	0	1	1	0	
51	June25	0	(5+1) = 6	1	5	
52	July25	0	(7+1)=8	0	0	
53	Aug25	0	(2+1)=3	0	0	
54	Sep25	0	(1+4)=5	0	0	
55	Oct25	0	(1+3)=4	0	0	
	GrandTotal	9	130	102	16	
*Inclusiveofcomplaintsofpreviousmonthsresolvedinthecurrent						
**Inclusiveofcomplaintspendingasonthelastdayofthemonth.						
Trendofannualdisposalofcomplaints						

Sr No	Year	Carriedforwardfromprev iousyear	Receivedduringthe year	Resolvedduringt heyear	Pending attheen doft heyear	
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	2017-18	0	399	398	1	
	2018-19	1	217	203	14	
	2019-20	14	124	99	25	
	2020-21	25	16	15	1	

	2021-22	1	10	9	1	
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	2022	1	18	18	0	
	2023	0	6	3	3	

	GrandTotal	42	790	745	45	
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