

Complaints–Broking&DP				ANNEXURE-B		
Data for Month ending July-2025						
Sr No	Received from	Carried forward from previous Year	Received during the year	Total Pending	Resolved*	Pending at the end of the year* *
1	Directly from Investors	6	25	9	16	15
2	SEBI (SCORES)	0	10	1	10	1
3	Depositories	0	24	0	23	0
4	Other Sources (if any)	0	0	0	0	0
	Grand Total	7	59	10	49	16
Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.						
Trend of monthly disposal of						
Sr No	Month	Carried forward from previous month	Received	Resolved *	Pending**	
1	Apr21	0	2	2	0	
2	May21	0	0	0	0	
3	Jun21	0	2	2	0	
4	Jul21	0	1	1	0	
5	Aug21	0	0	0	0	
6	Sep21	0	1	1	0	
7	Oct21	0	0	0	0	
8	Nov21	0	2	1	1	
9	Dec21	1	1	1	0	
1	Jan22	0	1	1	0	
1	Feb22	0	0	0	0	
1	Mar-22	0	0	0	0	
1	Apr22	0	1	1	0	
1	May22	0	2	2	0	
1	Jun22	0	0	0	0	
1	Jul22	0	0	0	0	
1	Aug22	0	2	2	0	
1	Sept22	0	2	2	0	
1	Oct22	0	1	2	0	
2	Nov22	0	4	4	0	
2	Dec22	0	3	3	0	
2	Jan23	0	2	2	0	
2	Feb23	0	1	1	0	
2	Mar-23	0	0	0	0	
2	Apr23	0	1	0	1	
2	May23	1	1	1	1	
2	Jun23	1	2	0	2	
2	Jul23	0	0	0	0	
2	Aug23	0	0	0	0	
3	Sep23	0	2	2	0	
3	Oct-23	0	2	2	0	
3	Nov23	0	0	0	0	
3	Dec23	0	1	1	0	
3	Jan24	0	6	6	0	
3	Feb24	0	6	6	0	
3	Mar24	0	11	7	4	
3	April24	4	7	10	1	
38	May24	1	11	10	1	
39	Jun24	1	1	2	0	
40	July24	0	2	2	0	
41	Aug24	0	0	0	0	
42	Sep24	0	3	3	0	
43	Oct24	0	1	1	0	
44	Nov24	0	7	7	0	
45	Dec24	0	4	4	0	
46	Jan25	0	3	3	0	
47	Feb25	0	2	2	0	

48	Mar25	0	1	1	0	
49	Apr25	0	1	1	0	
50	May25	0	1	1	0	
51	June25	0	(5+1) = 6	1	5	
52	July25	0	(7+1)=8	0	0	
	GrandTotal	9	118	102	16	
*Inclusiveofcomplaintsofpreviousmonthsresolvedinthecurrent						
**Inclusiveofcomplaintspendingasonthelastdayofthemonth.						
Trendofannualdisposalofcomplaints						

Sr No	Year	Carriedforwardfrompreviousyear	Receivedduringthe year	Resolvedduringtheyear	Pendingattheendof theyear	
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1	2017-18	0	399	398	1	
2	2018-19	1	217	203	14	
3	2019-20	14	124	99	25	
4	2020-21	25	16	15	1	

5	2021-22	1	10	9	1	
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	2022-23	1	18	18	0	
	2023-24	0	6	3	3	

	GrandTotal	42	790	745	45	
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