

Dealmoney Commodities Private Limited.

Plot No. A356/357, Road No. 26, CP Talav, Wagle Industrial Estate, Thane West. 400 604. Tel: 022 4184 2222/2233/2207 | Fax: 9122 4184 2257 | E-mail: customercare@dealmoney.in

Ver: Feb2018

Account Details Addition/Modification Request Form (Trading & DP A/c) Date:_____

Dear Sir / Madam,

I/ We request you to make the following additions / modifications to my / our Trading and Demat account in your records.

PLEASE FILL ALL THE DETAILS IN BLOCK LETTERS IN ENGLISH. Please mark (7) on the appropriate column

Account Holder's	Dotaile										DΛ	AN NO										
CDSL DP ID- 120		BO ID									Trading		<u> </u>				$\frac{\perp}{\Box}$	\pm	$\overline{}$			
OBCE DI ID 120	14000	5015									Trading	, 000										
Annual Income	☐ Upto 1	Lac 🗌 1-	5 Lac	□ 5-*	10 Lac	: 🗌 1	0-25 l	ac 🗆	25-5) Lac	: ☐ 50-1 cı	r 🗌 ′	cr & a	above	Netv	vorth a	as on	Date	e Rs.			
1. Bank Details	Existi	ng Details	(As pe	r DP Ac	count)						New Deta	ails (1	his ban	k will b	e upda	ated as	def	ault b	ank f	or D	VIDE	END)
	Bank N	ame & Bran	ich:								Bank Name	e & Br	anch:									
Addition	A/c No	.:									A/c No.:											
☐ Modification	A/c Typ	pe:									A/c Type:											
	IFSC (I	Mandatory):									IFSC (Mano	datory):									
2. Address Detai	Is			Exis	ting [Detail	s							N	ew D	etails						
Modification	Addres	s:									Address:											
_																						
☐ Correspondend ☐ Permanent	ce																					
- Permanent	City:					tate:					City:					State						
	Countr	y:			F	Pin Coo	de:				Country:					Pin (Code	e: 				
3. Contact Detail	s		E	Existi	ng De	tails								Ne	w De	tails						
☐ Addition	Tel.:		Mol	p.							Tel.:		M	lob.								
☐ Modification	Email I	D:			•				·		Email ID:							·				
4. ECN activatio	and other	r oloctron	ic cor	nmun	icatio	n for	Tradir	na and	l Dom	at ac	ecount: \square	Vos		No								
I / We hereby give Monthly / Quarter and such other co mentioned new en	ly demat s rresponden	tatement o	of acco	unts /	holdin	ıg sta	temen	t(s) / b	oills or	othe	r reports, S	State	nent(s), rela	ted no	otices,	, cir	cular	s, ar	men	dme	ents
5. Signature			Existir	ng Sig	natur	e								Now	Cian							
Modification														Mem	Sign	ature						
Reason for Change											Sign ver	rified	by Banl					Desi	gnati	ion h	ere.	
	in Signati	ure									Sign ver	rified	by Banl					Desi	gnati	ion h	ere.	
I/We wish to upd	ate the ak	ove chan	nges ii	ı KRA	A, Der		nd Tr	ading				rified	by Ban					Desi	gnati	ion h	ere.	
I/We wish to upd	ate the ak	ove chan	nges ii	n KRÆ	λ, Der	nat a		ading		unt.			by Bank	ker. St	amp, \$			Desi	gnati	ion h	ere.	<u> </u>
I/We wish to upd	ate the ak	ove chan	nges i	ı KRA	A, Den	nat a	nd Tr	ading							amp, \$			Desi	gnati	ion h	ere.	
I/We wish to upd	ate the ab	ove chan	nges i		A, Den	nat a		ading						ker. St	amp, \$	Signati		Desi	gnati	ion h	ere.	
I/We wish to upd 6. DP Details for DP Name:	ate the ab	ove chan	nges i			nat a		ading						ker. St	amp, s	Signati		Desi	gnati	ion h	ere.	
I/We wish to upd 6. DP Details for DP Name:	Trading A	oove chan	the de	Ex	isting Irnishe	nat a	PID:	e true a	and co	Pay	t to the best	□ F	ayout	Clien	amp, st ID:	Signate	elief	f. In c	case			
I/We wish to upd 6. DP Details for DP Name: 7. Others (Pls Spec	ate the ak Trading A ify) hereby denormation	ove chan	the de	Ex tails fu e or ur	isting Irnishe	nat a	PID:	e true a	and co	Pay	t to the best	□ F	ayout	Clien	New New edge a may	and be hel	elief	. In c	case for it.			
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For Dealmoney Commodities Private Limited Employee No.

Employee Name:

Signature & Date:

IPV STAMP

Cheque leaf with name printed. (Personalized cheque) Copy of bank passbook. Copy of bank statement of accounts duly attested by bank authorities not older than 2 months with cancelled cheque leaf. New Address process/proof details: Addhar card. Passport. Driving License. Bank Passbook. Electricity bill/Landline telephone bill (not more than 1 months old) New Demat a/c addition process/proof details: Latest holding statement / DP transaction statement / CML attested by DP. New Signature updating process: New Signature to be attested by banker with bank seal and stamp and confirming the client new signature. Need Bank Name & address stamp on request form Signature, Name & Designation of the Banker.	
Chague leaf with name printed. (Personalized cheque) Copy of bank passbook. Copy of bank statement of accounts duly attested by bank authorities not older than 2 months with cancelled cheque leaf. New Address process/proof details: Aadhar card. Passport. Diriving License. Bank Passbook. Electricity bill/Landline telephone bill (not more than 1 months old) New Demat a/c addition process/proof details: Latest holding statement / DP transaction statement / CML attested by DP. New Signature updating process: New signature to be attested by banker with bank seal and stamp and confirming the client new signature. Need Bank Name & address stamp on request form Signature, Name & Designation of the Banker. ACKNOWLEDGMENT RECEIPT Ve hereby acknowledge the receipt of your instruction for modification of the following account subject to verification: DPID: 1 2 0 4 5 5 0 0 Client ID: Trading Code: Modification request for DANGLEDGMENT CENTER DETAILS ECNTER DETAILS DESIGNATION DRAddition Others DRAddition Others DRAddition Details DESIGNATION DESIGNATION DRAddition Details DESIGNATION DESIGNATION DRAddition Details DESIGNATION DESIGNAT	Anyone Proof Required from the following list (Self attested by client and all joint holders, if any)
Addition request for Appendication of the Panker Address Record Content Date in Appendication of Content Date in Appendication of Content Date in Appendication of Content Date in Conte	☐ Copy of bank passbook.
Latest holding statement / DP transaction statement / CML attested by DP. New Signature updating process:	☐ Passport. ☐ Driving License. ☐ Bank Passbook.
New signature to be attested by banker with bank seal and stamp and confirming the client new signature. Need Bank Name & address stamp on request form Signature, Name & Designation of the Banker. ACKNOWLEDGMENT RECEIPT	New Demat a/c addition process/proof details: Latest holding statement / DP transaction statement / CML attested by DP.
Ve hereby acknowledge the receipt of your instruction for modification of the following account subject to verification: DPID: 1 2 0 4 5 5 0 0 Client ID: Trading Code: Modification request for Applied Income Company Contact Details Cont	☐ Need Bank Name & address stamp on request form
Ve hereby acknowledge the receipt of your instruction for modification of the following account subject to verification: DPID: 1 2 0 4 5 5 0 0 Client ID: Trading Code: Modification request for Applied Income Company Contact Details Cont	
DPID: 1 2 0 4 5 5 0 0 Client ID: Trading Code: Modification request for Applied Income Client ID: Trading Code:	ACKNOWLEDGMENT RECEIPT
DPID: 1 2 0 4 5 5 0 0 Client ID: Trading Code: Modification request for Applied Income Client ID: Trading Code:	We hereby acknowledge the receipt of your instruction for modification of the following account subject to verification:
Modification request for Applied Income Cl. Pank Cl. Address Cl. Contact Dataile Cl. Signature Cl. DR Addition Cl. Others	
	Modification request for

Depository Participant Seal and Signature

Know Your Client (KYC) Application Form (For Individuals Only)

Main Intermediary



Place for Intermediary Logo

Application No.:

Please fill in ENGLISH and in BLOCK LETTERS	L V L		
A. Identity Details (please see guidelines over	•		
1. Name of Applicant (As appearing in supporting identification	n document).		
Name			DUOTOCRADU
Fathards/Curry Name			PHOTOGRAPH
Father's/Spouse Name			
			Please affix
2. Gender Male Female B. Marital status S	ingle Married C. Date of Birth d d /	m m / y y y y	the recent passport
3. Nationality Indian Other (Please specify)			size photograph and
4. Status Please tick (✓) ☐ Resident Individual ☐ Non Res	ident	ory for NRIs & Foreign Nationals)	sign across it
5. PAN	enclose a duly attested copy of your PAN Card		
	ericlose a duly attested copy of your FAN Card		
Unique Identification Number (UID)/Aadhaar, if any: 6. Proof of Identity submitted for PAN exempt cases Ple	assa Tick (./)		
UID (Aadhaar) Passport Voter ID Driving			(Please see guideline 'D' over
			(ricase see galacilile 12 over
B. Address Details (please see guidelines over	eaf)		
1. Address for Correspondence			
City / Town / Village		Pin Coo	de
State	Country		
2. Contact Details		(CTD)	
Tel. (Off.) (ISD) (STD) Mobile (ISD) (STD)	Tel. (Res.) (ISD) Fax (ISD)	(STD)	
E-Mail Id.	Tax (ISB)	(310)	
City / Town / Village State 5. Proof of address to be provided by Applicant. Plea Passport Ration Card Registered Lease/Sale / *Latest Telephone Bill (only Land Line) *Latest E *Not more than 3 Months old. Validity/Expiry date of p	Agreement of Residence □ Driving License □' lectricity Bill □*Latest Gas Bill □ Others (Please	Voter Identity Card □*Latest Ba e specify)	t the document attached
C. Other Details (please see guidelines overlea	f)		
1. Gross Annual Income Details (Please tick (✓):		_ac	25 Lacs
· · · · · · · · · · · · · · · · · · ·	OR		
Net-worth in ₹.(*Net worth should not be older	than 1 year) as on (date)	d d / m m / y y	y y
2. Occupation (Please tick(✓) any one and give br		, , , , , , , ,	
		□ Professional □ Agricul	turist Retired
☐ Housewife ☐ Student ☐ Forex Dealer		yposad Darsan	
3. Please tick, if applicable: Politically Exponent For definition of PEP, please refer guideline overl	-	posea Person	
4. Any other information:	cai		
4. Any other information.			
	RATION		RE OF APPLICANT
hereby declare that the details furnished above are true undertake to inform you of any changes therein, imme false or untrue or misleading or misrepresenting, I am/we	diately. In case any of the above information is		
Place:	Date:		
FOR OFFICE	USE ONLY	IPV Done ☐ on d	/ m m / y y y
AMC/Intermediary name OR code			
,	Seal/Stamp of the intermediary should conta		intermediary should contain taff Name
☐ (Originals Verified) Self Certified Document copies received	Designation		esignation
	Name of the Organization		the Organization
(Attested) True copies of documents received	Signature		Signature

Date

Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- 1. Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

- 1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- 2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (UID) (Aadhaar)/Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

- Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
- 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook Not more than 3 months old.
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

- 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
- 5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.